

**MONDAY, 7<sup>TH</sup> SEPTEMBER, 2020**

**TO: ALL MEMBERS OF THE AUDIT COMMITTEE**

I HEREBY SUMMON YOU TO ATTEND A VIRTUAL MEETING OF THE **AUDIT COMMITTEE** WHICH WILL BE HELD AT **2.00 P.M.** ON **FRIDAY, 11TH SEPTEMBER, 2020** FOR THE TRANSACTION OF THE BUSINESS OUTLINED ON THE ATTACHED AGENDA.

*Wendy Walters*

**CHIEF EXECUTIVE**

<b>Democratic Officer:</b>	<b>Michelle Evans Thomas</b>
<b>Telephone (Direct Line):</b>	<b>01267 224470</b>
<b>E-Mail:</b>	<b>MEEvansThomas@carmarthenshire.gov.uk</b>

**NOTE:-**

If you require Welsh to English simultaneous translation during the meeting please telephone 0330 336 4321 Passcode: 86486599#  
(For call charges contact your service provider)

Wendy Walters Prif Weithredwr, *Chief Executive*,  
Neuadd y Sir, Caerfyrddin. SA31 1JP  
*County Hall, Carmarthen. SA31 1JP*

# **AUDIT COMMITTEE**

**MEMBERSHIP:  
8 COUNCIL MEMBERS AND 1 EXTERNAL VOTING MEMBER**

## **PLAID CYMRU GROUP – 4 MEMBERS**

- 1. Councillor Kim Broom**
- 2. Councillor Karen Davies**
- 3. Councillor Gareth John**
- 4. Councillor Elwyn Williams**

## **LABOUR GROUP – 2 MEMBERS**

- 1. Councillor Tina Higgins [Chair]**
- 2. Councillor Bill Thomas**

## **INDEPENDENT GROUP – 1 MEMBER**

- 1. Councillor Giles Morgan [Vice-Chair]**

## **NEW INDEPENDENT GROUP – 1 MEMBER**

- 1. Councillor Louvain Roberts**

## **EXTERNAL VOTING MEMBER (1)**

**Mrs. Julie James**

# AGENDA

1. APOLOGIES FOR ABSENCE.
2. DECLARATIONS OF PERSONAL INTERESTS.
3. CARMARTHENSHIRE COUNTY COUNCIL STATEMENT OF ACCOUNTS:-
  - 3 .1 AUDIT WALES AUDIT OF FINANCIAL STATEMENT REPORT (ISA 260) 5 - 28
  - 3 .2 LETTER OF REPRESENTATION. 29 - 34
  - 3 .3 AUDIT ENQUIRIES TO THOSE CHARGED WITH GOVERNANCE. 35 - 54
  - 3 .4 CARMARTHENSHIRE COUNTY COUNCIL STATEMENT OF ACCOUNTS 2019/20. 55 - 190
4. BURRY PORT HARBOUR FINANCIAL STATEMENT 2019/20. 191 - 206
5. TO CONSIDER THE FOLLOWING DOCUMENTS PREPARED BY AUDIT WALES:-
  - 5 .1 AUDIT WALES LOCAL REPORT - FINANCIAL SUSTAINABILITY ASSESSMENT - CARMARTHENSHIRE COUNTY COUNCIL. 207 - 224
  - 5 .2 AUDIT WALES NATIONAL REPORTS. 225 - 226
6. INTERNAL AUDIT PLAN UPDATE 2020/21. 227 - 234
7. AUDIT COMMITTEE FORWARD WORK PROGRAMME. 235 - 242
8. CORPORATE RISK REGISTER 2020/21. 243 - 262
9. PROGRESS REPORTS:-
  - 9 .1 EVALUATION OF THE COUNCIL'S REVIEW OF PEOPLE PERFORMANCE MANAGEMENT - PROGRESS UPDATE SEPTEMBER 2020. 263 - 278
  - 9 .2 PROPERTY MANAGEMENT REVIEW - PROGRESS UPDATE. 279 - 290
10. FINANCIAL PROCEDURE RULES. 291 - 334
11. REVISED CONTRACT PROCEDURE RULES. 335 - 376
12. MINUTES OF RELEVANT GROUPS TO THE AUDIT COMMITTEE:-
  - 12 .1 MINUTES OF THE RISK MANAGEMENT STEERING GROUP. 381 - 384
  - 12 .2 MINUTES OF THE GRANTS PANEL. 385 - 390

**13. TO SIGN AS A CORRECT RECORD THE MINUTES OF THE MEETING OF THE AUDIT COMMITTEE HELD ON THE 29TH JULY, 2020.**

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